

DOCUMENTATION TO BE SUBMITTED FOR JOINING SPAINSIF

1- Admission application form /See Annex I. /Can be submitted in English/ and additional information in the following cases:

- › Global assets under management (in case of application for joining group 2 of asset managers and investment services)
- › On-balance sheet assets (in case of application for joining group 1 of financial, credit, and insurance entities)
- › Total annual budget (in case of application for joining group 4 of non-profit entities and civil society)

2- Letter addressed to the Board of Directors /Can be submitted in English/. The letter must be signed by a representative of the entity, including a section of no less than 400 words motivating their interest in joining the Forum and another section of no less than 400 words summarizing their experience and profile in the field of sustainable finance. The letter must include acceptance of the principles set out in the Forum's statutes and commitment to the payment of the corresponding annual fees. */It can all be submitted in a single document and the length is given as a reference/*

3- Two letters of support from other members already belonging to the Forum. See Annex II. /Can be submitted in English/.

4- Document confirming the legal personality and registration in the corresponding administrative registers /Can be submitted in English/. Statutes, registration, records /At the international headquarters, if there is no headquarters in Spain/

5- Document of representation powers of the person representing the entity in Spainsif */Can be submitted in English/*

Once the incorporation is approved, the following will proceed:

6- Contract of incorporation

7- Personal data protection document



ANNEX 1 | MEMBERSHIP APPLICATION FORM

ENTITY DATA

Entity Name

V.A.T./ TAX Number

Zip Code

Postal address

Email

Phone No.

Contact person

Full name

ID No / Passport No.

Job position/Department

Email

Phone No.

Type of activity: Please, select the Group to which your entity belongs (*pending of Board of Directors confirmation*).

Group 1: Financial entities

Credit Entities

Bank Entities

Insurance Companies

Other Assets Owners

Total assets on the consolidated bank balance sheet (internationally) as published in the entity's latest annual report.

Group 2: Asset Management Entities and other Investment Services Companies

- Investment Services Entities
- Pension Fund Management Entities
- Managing Entities of Collective Investment Institutions
- Other Asset Managers

Total assets on the consolidated bank balance sheet (internationally) as published in the entity's latest annual report.

Group 3: Service providers and academic institutions

- Sustainability Analysis Companies
- Universities
- Business Schools
- Research Centers

Group 4: Non-profit organizations

- Non-Governmental Organizations (NGOs)
- Professional bodies
- Other associations or foundations that are not majority-managed by non-financial listed companies
- Religious Foundations and Congregations

Total assets on the consolidated bank balance sheet (internationally) as published in the entity's latest annual report.

Group 5: Trade Unions¹

- Trade Unions

¹. Trade unions that are considered the most representative unions in accordance with Article 6 of Organic Law 11/1985, of August 2, on Freedom of Association.

DATA PROTECTION: Regulation (EU) 2016/679 GDPR and LOPDGDD 3/2018. SPAINSIF FORO ESPAÑOL DE INVERSIÓN SOCIALMENTE RESPONSABLE as the data controller will process your data to manage your application for admission to the entity. You may exercise your rights of access, rectification, deletion and portability of your data, as well as the limitation or opposition to its processing as indicated in the [Privacy Policy](#).

Annex II

ENDORSEMENT LETTER TO BECOME A MEMBER OF SPAINSIF

To: Ms. Andrea González González
Director
Spainsif.
C/ Velázquez 157 Planta 1
28002 Madrid

Dear Ms. González,

We have received the application from **entity's name** to be considered as a candidate for membership in Spainsif.

entity's name is interested in joining Spainsif as an associate in the following group (please mark with an X):

- ☐ Group 1: Financial institutions and insurers
- ☐ Group 2: Asset management entities
- ☐ Group 3: Service providers and academic institutions
- ☐ Group 4: Non-profit entities
- ☐ Group 5: Trade unions

With the resources at our disposal, we have assessed the significance of the entity and its commitment to Spainsif's values. Therefore, we believe it meets the statutory requirements to join this forum as full-fledged associates and contribute to the achievement of its objectives.

Sincerely,

[Your Name]
[Your Title]
[Your Organization]
[Date]

(Signature and logo of the endorser)